

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
1 August 2002 (01.08.2002)

PCT

(10) International Publication Number  
**WO 02/059087 A1**

(51) International Patent Classification<sup>7</sup>: C07D 207/34, 405/06

(21) International Application Number: PCT/TB02/00161

(22) International Filing Date: 22 January 2002 (22.01.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
P-01100010 23 January 2001 (23.01.2001) SI

(71) Applicant (for all designated States except US): LEK  
PHARMACEUTICAL AND CHEMICAL COMPANY  
D.D. [SI/SI]; Verovskova 57, 1526 Ljubljana (SI).

(72) Inventor; and

(75) Inventor/Applicant (for US only): SORSAK, Gorazd  
[SL/SL]; Lackova 5, 2325 Kidricevo (SL).

(74) Agent: LEK PHARMACEUTICAL AND CHEMICAL  
COMPANY D.D.; LESON, Thomas, Johannes, Alois,  
Tiedtke-Bühling-Kinne & Partner, Bavariaring 4, 80336  
München (DE).

(81) Designated States (national): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,  
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,  
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,  
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,  
MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG,  
SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ,  
VN, YU, ZA, ZM, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),  
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR,  
GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent  
(BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR,  
NE, SN, TD, TG).

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 02/059087 A1

(54) Title: PREPARATION OF NON-CRYSTALLINE ATORVASTATIN CALCIUM

(57) Abstract: Atorvastatin calcium, the substance known by the chemical name [(R-(R\*,R\*))]-2-(4-fluorophenyl)-b,ddihydroxy-5-(1-methylethyl)-3-phenyl-4-[(phenylamino)carbonyl]-1H-pyrrole-1-heptanoic acid hemi calcium salt is known as HMG-CoA reductase inhibitor and is used as an antihypercholesterolemic agent. Atorvastatin is usually prepared as its calcium salt since it enable atorvastatin to be conveniently formulated in the pharmaceutical formulations, for example, in tablets, capsules, powders and the like for oral administration. Atorvastatin calcium can exist in an amorphous form or in one of the crystalline forms (Form I, Form II, Form III and Form IV). Atorvastatin calcium is the substance which is very slightly water-soluble, and it has been found that the crystalline forms are less readily soluble than the amorphous form which may cause problems in the bioavailability of atorvastatin in the body. The present invention relates to a novel process for converting the intermediate in the synthesis of atorvastatin having the following formula (I) or atorvastatin lactose into the non-crystalline atorvastatin calcium: wherein A denotes a common protection group or separate protection groups for the dihydroxy group and B denotes a carboxylic acid protection group.

Title of the invention

**Preparation of Non-crystalline Atorvastatin calcium**

5

Technical field

Atorvastatin calcium, the substance known by the chemical name [(R-(R\*,R\*))]-2-(4-fluorophenyl)-b,d-dihydroxy-5-(1-methyl-ethyl)-3-phenyl-4-(phenylamino)carbonyl-1H-pyrrole-1-heptanoic acid hemi calcium salt is known as HMG-CoA reductase inhibitor and is used as an antihypercholesterolemic agent. Processes for the preparation of atorvastatin and key intermediates are disclosed in the United States Patent Numbers: 5,003,080; 5,097,045; 5,103,024; 5,124,482; 5,149,837; 5,155,251; 5,216,174; 5,245,047; 5,248,793; 5,280,126; 5,342,952 and 5,397,792. Atorvastatin is usually prepared as its calcium salt since it enables atorvastatin to be conveniently formulated in the pharmaceutical formulations, for example, in tablets, capsules, powders and the like for oral administration.

Atorvastatin calcium can exist in an amorphous form or in one of the crystalline forms (Form I, Form II, Form III and Form IV), which are disclosed in the PCT patent applications WO-A-97/3958 and WO-A-97/3959. It is known that the amorphous forms in a number of pharmaceutical substances exhibit different dissolution characteristics and bioavailability patterns compared to the crystalline forms (Konno T., *Chem. Pharm. Bull.*, 1990, 38: 2003-2007). For some therapeutic indications the bioavailability is one of the key parameters determining the form of the substance to be used in a pharmaceutical formulation. Since processes for the crystallization and the preparation, respectively, of the amorphous substance are sometimes difficult to be performed, and as a product afford amorphous-crystalline mixtures, that is, a crystalline form instead of an amorphous form, there is a

- 2 -

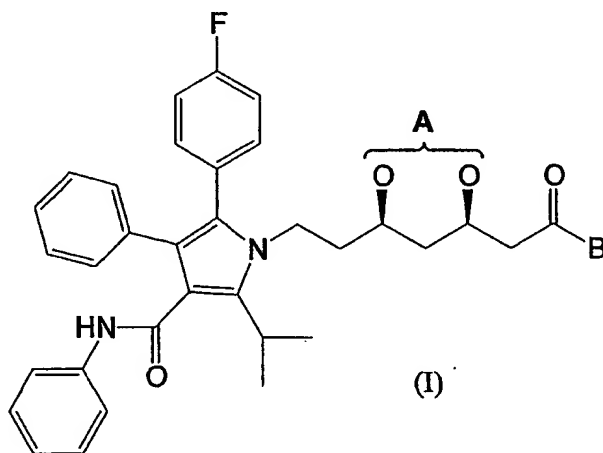
constant need for the processes which enable the preparing a non-crystalline form without simultaneous formulation of crystalline forms, that is, which will enable the conversion of the crystalline form into the non-crystalline form.

- 5        Atorvastatin calcium is the substance which is very slightly water-soluble, and it has been found that the crystalline forms are less readily soluble than the amorphous form which may cause problems in the bioavailability of atorvastatin in the body. It has been found that the production
- 10 of amorphous atorvastatin calcium according to the previously disclosed processes was not consistently reproducible, therefore a process has been developed for converting the crystalline forms of atorvastatin calcium (formed in the synthesis of atorvastatin) to the amorphous form. The process
- 15 is described in the PCT patent application WO-A-97/3960 and comprises dissolving the crystalline form of atorvastatin calcium in a non-hydroxylic solvent and after removal of the solvent affords amorphous atorvastatin calcium. The preferred non-hydroxylic solvent is selected from the group consisting of
- 20 tetrahydrofuran, and a mixture of tetrahydrofuran and toluene. The disadvantage of the above process is primarily use of non-nature-friendly solvents. A similar process is described in the PCT patent application WO-A-00/71116 and comprises dissolving the crystalline form of atorvastatin calcium in a non-
- 25 hydroxylic solvent, such as, for example, tetrahydrofuran. To a solution of atorvastatin calcium is added a nonpolar organic solvent, or a solution of atorvastatin calcium is added to a nonpolar organic solvent to allow atorvastatin calcium to precipitate. The formed precipitate is filtered off.
- 30        Synthesis of atorvastatin calcium is demanding and accordingly the cost of the finished product is high. Therefore, it was an object to minimize the number of synthesis steps in the process for the preparation of atorvastatin calcium and in this manner to improve the yield.

The present invention provides the conversion of an intermediate compound having the formula (I) shown below into non-crystalline, in particular amorphous, atorvastatin calcium without the need of prior formation of atorvastatin lactone and  
5 atorvastatin calcium in the form of crystals or a mixture of crystals of amorphous and crystalline form of atorvastatin calcium. In a further aspect, the present invention also provides the conversion of atorvastatin in the form of lactone into non-crystalline, in particular amorphous, atorvastatin  
10 calcium without intermediate formation of atorvastatin calcium in the form of crystals or a mixture of amorphous and crystalline form. In a still further aspect, the present invention also provides a process for the preparation of a pharmaceutical formulation containing atorvastatin calcium  
15 which had been prepared directly in the non-crystalline, in particular in the amorphous form.

Accordingly, the present invention in the first aspect provides a novel process for the direct preparation of non-crystalline atorvastatin calcium from the following  
20 intermediate compound without the prior transformation into atorvastatin lactone or atorvastatin calcium in a crystalline form, respectively, which process comprises the following steps:

a) providing a solution containing an intermediate compound  
25 having the following formula (I) in a non-hydroxylic solvent:



- 4 -

wherein A denotes a common protection group or separate protection groups for the dihydroxy group and B denotes a carboxylic acid protection group;

b) carrying out deprotection of the dihydroxy group;

5 c) carrying out deprotection of the carboxylic acid protection group;

wherein the order of steps b) and c) can be reversed;

d) concentrating the solution to about half of the initial volume or lower;

10 e) adding water in excess of the volume of the concentrated solution;

f) adding, using about the same or a higher volume than the water volume added in step e), a solvent which is slightly miscible or immiscible with water and in which atorvastatin

15 calcium is insoluble or practically insoluble;

g) optionally performing a mixing operation, and separating the two phases;

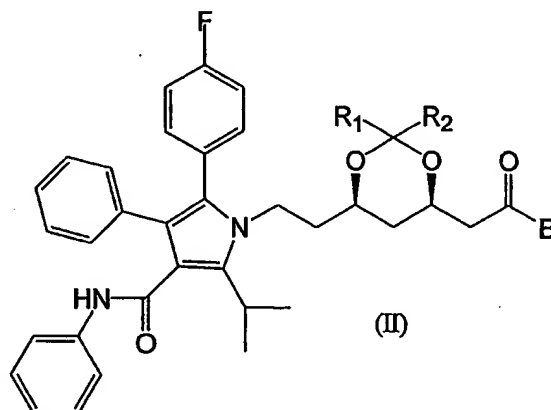
h) neutralizing the aqueous phase;

i) converting the dihydroxy carboxylic acid form of

20 atorvastatin to a pharmaceutically acceptable salt form; and

j) forming a precipitate of the atorvastatin being converted in said pharmaceutically acceptable salt form.

The preparation of the intermediate compound of formula  
25 (I) is described in EP 0 330 172 and WO 99/20492, both documents being incorporated herein by reference. The intermediate compound preferably has the following formula (II):

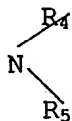


- 5 -

wherein  $R_1$  and  $R_2$  are independently hydrogen, alkyl of from one to three carbon atoms, or phenyl, or  $R_1$  and  $R_2$  are taken together as  $(-CH_2)_n-$  wherein  $n$  is 4 or 5, and

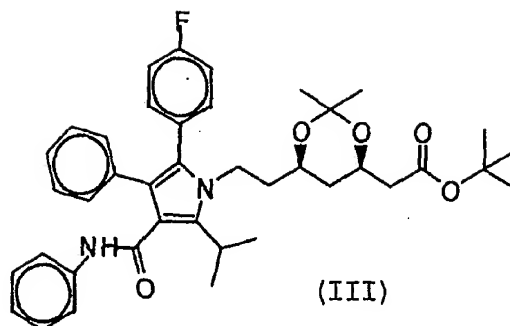
B is

- 5 a)  $O-R_3$  wherein  $R_3$  is
- straight chain or branched chain alkyl of from one to eight carbon atoms, preferably tert-butyl, tert-amyl or  $\alpha,\alpha$ -dimethylbenzyl, or
  - a three- to six-membered cycloalkyl group,
- 10 b) a group of the formula:



- 15 wherein  $R_4$  and  $R_5$  are independently alkyl of from one to ten carbon atoms, cycloalkyl of from three to seven carbon atoms such as cyclopropyl, cyclobutyl, cyclopentyl and cyclohexyl, aryl or aralkyl such as benzyl or phenyl, or  $R_4$  and  $R_5$  together form a four to six member hydrocarbon linkage group optionally
- 20 containing one or more hetero atoms such as O and optionally being substituted by an alkyl of from one to four carbon atoms, e.g.  $-(CH_2)_4-$ ,  $-(CH_2)_5-$ ,  $-CH(R_6)-(CH_2)_3-$ ,  $-CH(R_6)-(CH_2)_4-$ ,  $-CH(R_6)-(CH_2)_2-CH(R_6)-$ ,  $-CH(R_6)-(CH_2)_3-CH(R_6)-$ ,  $-CH_2-CH_2-O-CH_2-CH_2-$ ,  $-CH(R_6)-CH_2-O-CH_2-CH_2-$  and  $-CH(R_6)-CH_2-O-CH_2-CH(R_6)-$ , wherein  $R_6$
- 25 is alkyl of from one to four carbon atoms.

A particular example for the intermediate compound used as the starting material is the compound having the following formula (III):



In the second aspect, the present invention further relates to the process for the conversion of atorvastatin in the form of lactone into a non-crystalline form of atorvastatin calcium. In this alternative process, atorvastatin in the form of lactone is provided in a non-hydroxylic solvent; a reaction for opening the lactone ring is performed; and then the steps as defined by steps d) to j) specified above in connection with the first aspect of the invention are carried out.

#### Brief description of the Figure

The Figure shows an X-ray powder diffractogram of atorvastatin calcium obtained with a process according to the present invention.

15

The present invention is described in more detail by referring to the following embodiments.

According to the process, the intermediate compound of formula (I), especially that of formula (II) and in particular that of formula (III) being defined by more specific protecting groups, is provided in solution. The solution may be provided in the course of the synthesis of the intermediate compound, or the compound may be dissolved in an appropriate amount, for example 100 to 300 ml (maximum to concentration of the intermediate to 80 g/liter), of a non-hydroxylic solvent such as, for example, tetrahydrofuran, 1,4-dioxane, acetone, ethyl

- 7 -

acetate or a mixtures of this solvents; or mixtures of mentioned solvents with toluene, n-heptane, n-hexane, acetonitrile in the volume ratio between 1:0.01 to 1:1.0. Then, the deprotection of the hydroxyl groups in the side-chain (in the 3- and 5-positions) of the intermediate compound is performed, which can conveniently be done by the addition of an acid such as mineral acids, for example diluted hydrochloric acid or sulfuric acid, trifluoroacetic acid, formic acid, propanic acid, para-toluenesulfonic acid. The amount of the added acid to intermediate compound lies in a molar ratio of from between 1:0.05 to 1:0.2 (for monoprotonic acids), preferably between 1:0.09 and 1:0.1. The resulting solution is kept, preferably while being mixed by stirring, agitating or shaking the solution, at a temperature of from 5 to 40°C, preferably at a room temperature so that the intermediate compound (I), (II) or (III), respectively, is no longer detectable by thin-layer chromatography (TLC). Then, the deprotection of the carboxylic acid group (removal of moiety B such as R<sub>3</sub>, e.g., tert-butyl), is carried out, which can conveniently be done by adding an appropriate base such as alkali metal hydroxide or alkaline earth metal hydroxide, for example sodium hydroxide, potassium hydroxide, lithium hydroxide, barium hydroxide and the like, sodium or potassium hydroxide being preferred, to the solution to adjust the pH of the solution to a range of from 8 to 13, preferably from 9 to 12. The resulting solution is kept, preferably while being mixed by stirring, agitating or shaking the solution, at a temperature of from 5 to 40°C, preferably at room temperature so that hydroxyl group deprotected, yet carboxylic acid group protected intermediate compound is no longer detectable by thin-layer chromatography (TLC).

The solution is then concentrated, for example by evaporation in vacuo, to about half of the initial volume or lower, preferably between 15 and 50% of the initial volume and more preferably to about 1/4 of the initial volume. The concentrated solution is diluted with a volume of water in



excess of the volume of the concentrated solution, preferably in 0.6 to 3-fold of the volume of the concentrated solution. To this solution is added, using about the same or a higher volume than the previously added water volume, preferably a 1 to 5-fold and more preferably 2 to 3-fold of the previously added water volume, of a solvent which is slightly miscible or immiscible with water and in which atorvastatin calcium is insoluble or practically insoluble. Examples of suitable solvents include hexane, heptane, cyclohexane, ether, diisopropyl ether or the like. Preferably, the resulting solution is vigorously mixed, for example by stirring, agitating or shaking, and subsequently the phases are separated. Then, the aqueous phase is preferably rapidly stirred, agitated or shaken while an acid, e.g. a mineral acid as mentioned above such as hydrochloric acid, is carefully added to neutralize the solution, preferably adjusting the pH of the aqueous phase to a range of from 6.5 to 8, more preferably to a pH of from 6.8 to 7.5.

Then, the dihydroxy carboxylic acid form of atorvastatin thus obtained is converted to a pharmaceutically acceptable salt form. The most preferred salt form is the calcium salt. This may be carried out by heating the resulting neutralized aqueous solution to a temperature of from 30 to 40°C, preferably at about 35°C. To this solution, which is rapidly mixed by stirring, agitation or shaking, is added a 0.05 to 0.5M, preferably 0.1 to 0.3M aqueous solution of the corresponding salt which is correspondingly preheated to 30 to 40°C, preferably at about 35°C. In order to obtain the preferred calcium salt form of atorvastatin, a suitable calcium salt, preferably calcium acetate, calcium citrate, calcium oxalate, calcium chloride or calcium iodide, is used. The amount of the added salt to intermediate starting compound preferably lies in the molar ratio between 1:1 and 1:1.55, preferably 1:1.13 and 1:1.135. After the completed addition, the mixture is preferably kept, suitably under a mixing operation like stirring, agitating or shaking, for a suitable

period, for example for 0.5 to 3 hours and preferably for about 1 to 2 hours, at a temperature between 10 and 30°C, preferably between 20 and 25°C.

Then, a precipitate of the atorvastatin being converted in  
5 said pharmaceutically acceptable salt form is formed. To this end, the resulting solution may be cooled to a lower temperature, for example to a temperature of from 2 to 15 °C, preferably from 4 to 10°C. In place of cooling the solution, atorvastatin calcium may also be precipitated by the addition  
10 of a water-miscible organic solvent in which atorvastatin calcium is slightly soluble or practically insoluble.

As a further alternative, atorvastatin calcium may be precipitated by concentrating the solution, for example, in a vacuum evaporator.

15 To give atorvastatin calcium in the desired non-crystalline form, the formed precipitate may be obtained by appropriate means and, thus, may be filtered, rinsed with water and dried.

In case the starting substance is atorvastatin in the form  
20 of lactone, the lactone compound (which can be produced according to the references mentioned above) is correspondingly provided in solution. Likewise, the solution may be provided in the course of the synthesis of the lactone compound, or the lactone compound may be dissolved in an appropriate amount, for  
25 example 100 to 300 ml of a non-hydroxylic solvent such as, for example, tetrahydrofuran.

Then, a reaction to open the lactone ring is performed, which is suitably done by adding a base, for example an alkali metal or alkaline earth metal hydroxide as mentioned above such  
30 as NaOH. The amount of the added base to lactone lies in a molar ratio between 1:0.2 and 1:0.6, preferably 1:0.29 and 1:0.57. The resulting solution is heated to an appropriate temperature, suitably to 40 to 60°C and preferably to about

50°C, and maintained at this temperature for a suitable period until the lactone form is no longer detectable by TLC.

Subsequently, the solution is concentrated and further processed as described above for the preparation of the non-crystalline substance from intermediate compound (I) (see steps 5 d) to j) described above).

According to the third aspect of the present invention, the process for the preparation of a pharmaceutical formulation containing atorvastatin calcium in a non-crystalline form 10 comprises preparing atorvastatin calcium in a non-crystalline form from either intermediate compound having the formula (I) (more specifically the formulae (II) or (III)) or from the lactone form, and mixing the thus prepared non-crystalline atorvastatin calcium with a pharmaceutically acceptable carrier 15 in a conventional manner. Preferably, a non-crystalline atorvastatin in the calcium salt form is prepared. The pharmaceutical formulation is generally solid in the form of tablets, capsules, powders and the like for oral administration.

20 The pharmaceutical formulation thus prepared may include, in addition to the thus directly prepared non-crystalline atorvastatin calcium, in particular the calcium hemisalt, one or more fillers, such as microcrystalline cellulose, lactose, sugars, starches, modified starch, mannitol, sorbitol and other 25 polyols, dextrin, dextran and maltodextrin, calcium carbonate, calcium phosphate and/or hydrogen phosphate, sulphate, one or more binders, such as lactose, starches, modified starch, dextrin, dextran and maltodextrin, microcrystalline cellulose, sugars, polyethylene glycols, hydroxypropyl cellulose, 30 hydroxypropyl methylcellulose, ethylcellulose, hydroxyethyl cellulose, methylcellulose, carboxymethyl cellulose, gelatin, acacia gum, tragacanth, polyvinylpyrrolidone, magnesium aluminium silicate, one or more disintegrating agents such as croscarmellose sodium, cross-linked polyvinylpyrrolidone, 35 cross-linked carboxymethyl starch, starches and

- 11 -

microcrystalline cellulose, magnesium aluminium silicate, polyacrylin potassium, one or more different glidants such as magnesium stearate, calcium stearate, zinc stearate, calcium behenate, sodium stearyl fumarate, talc, magnesium trisilicate, 5 stearic acid, palmitic acid, carnauba wax, silicon dioxide, one or more buffering agents such as sodium or potassium citrate, sodium phosphate, dibasic sodium phosphate, calcium carbonate, hydrogen phosphate, phosphate, sulphate, sodium or magnesium carbonate, sodium ascorbate, benzoate, sodium or potassium 10 hydrogen carbonate, lauryl sulphate, or mixtures of such buffering agents.

If required, the formulation may also include surfactants and other conventional components for solid, pharmaceutical formulations such as coloring agents, lakes, aromas and 15 adsorbents. As surfactants the following may be used: ionic surfactants, such as sodium lauryl sulphate or non-ionic surfactants such as different poloxamers (polyoxyethylene and polyoxypropylene copolymers), natural or synthesized lecithins, esters of sorbitan and fatty acids (such as Span®, manufactured 20 by Atlas Chemie), esters of polyoxyethylenesorbitan and fatty acids (such as Tween®, manufactured by Atlas Chemie), polyoxyethylated hydrogenated castor oil (such as Cremophor®, manufactured by BASF), polyoxyethylene stearates (such as Brij®, manufactured by Atlas Chemie), dimethylpolysiloxane or 25 any combination of the above mentioned surfactants.

If the pharmaceutical formulation is in the form of coated tablets, the coating may be prepared from at least one film-former such as hydroxypropyl methylcellulose, hydroxypropyl cellulose, at least from one plasticizer such as 30 polyethylene glycols, dibutyl sebacate, triethyl citrate, and other pharmaceutical auxiliary substances conventional for film coatings, such as pigments, fillers and others.

The pharmaceutical formulation may be prepared by conventional methods known to those skilled in the art.

The present invention is illustrated but in no way limited by the following examples.

### EXAMPLES

#### Example 1

5        4.37 g (0.0067 mol) of the compound III were dissolved in 200 ml of tetrahydrofuran, 15 ml of 10% HCl was added and the solution was stirred at room temperature for 15 hours. To this solution 3.6 g (0.090 mol) of solid NaOH were added and stirred for additional 30 hours. The solution was concentrated  
10 (evaporated by vacuum) to 50 ml. 50 ml of water and 80 ml of hexane were added. The phases were separated and to the rapidly agitated aqueous phase 5M HCl was added carefully to a pH to 7.0-7.5. The solution is heated to 35°C and 0.76 g (0.0043 mol) Ca(OAc)<sub>2</sub> x H<sub>2</sub>O in 20 ml of water, preheated to 35°C was added to  
15 the agitated solution. After the completed addition, the solution is stirred for additional 1 hour at room temperature and then placed in a refrigerator for 2 hours. The formed precipitate was filtered, rinsed with water (2 x 20 ml) and dried at 40°C for 18 hours to give 3.75 g of the non-  
20 crystalline product.

#### Example 2

3.00 g of the compound III were dissolved in 140 ml of tetrahydrofuran, 10 ml of 10% HCl were added and the solution was stirred at room temperature. To this solution 3.6 g of  
25 solid NaOH were added and the solution was stirred for 30 hours. The solution was concentrated (evaporated by vacuum) to 1/4 - 1/5 of the initial volume. Then the same amount of water, and 1.6-fold amount of hexane as the volume of the remaining concentrated solution were added. The phases were separated and  
30 to the rapidly agitated aqueous phase 5M HCl was added carefully to a pH to 7.0. The solution is heated to 35°C and 0.76 g Ca(OAc)<sub>2</sub> x H<sub>2</sub>O in 20 ml of water, preheated to 35°C was added to the agitated solution. After the completed addition,

- 13 -

the solution is stirred for additional 1 hour at room temperature and then placed in a refrigerator for 2 hours. The formed precipitate was filtered, rinsed with water and dried at 40°C for 18 hours to give 2.23 g of the non-crystalline atorvastatin calcium.

The obtained non-crystalline atorvastatin calcium has an X-ray powder diffractogram substantially as shown in the Figure. The X-ray powder diffraction pattern was collected on a Philips PW1710 diffractometer in reflection geometry. The instrument is regularly calibrated with the silicon standard. The sample was not ground before the measurement. Standard Philips back-loading sample holder was used. Sample storage, mounting and data collection were done at room temperature.

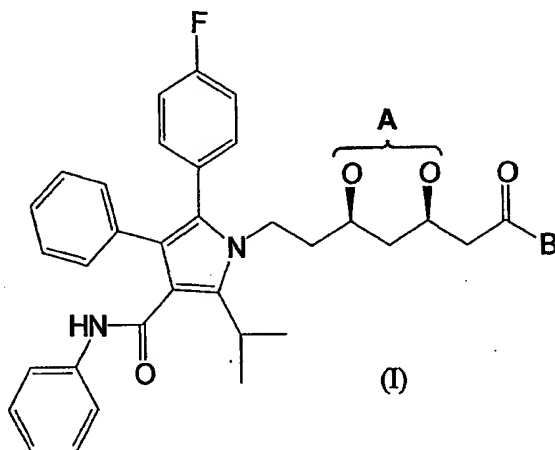
Instrumental parameters: CuK $\alpha$  radiation (30mA, 40kV,  $\lambda=1.5406\text{\AA}$ ), variable divergence slit (approx. 12 x 16mm irradiated area), 0.4mm receiving slit, graphite monochromator on the secondary side, scintillation counter.

Data collection parameters:  $2\theta$  range from 4 to 37°, step scan mode in steps of  $0.04^\circ 2\theta$ , integration time 1s at each step.

Claims:

1. A process for the preparation of atorvastatin in a non-crystalline form, which comprises:

- 5 a) providing a solution containing an intermediate compound having the following formula (I) in a non-hydroxylic solvent:

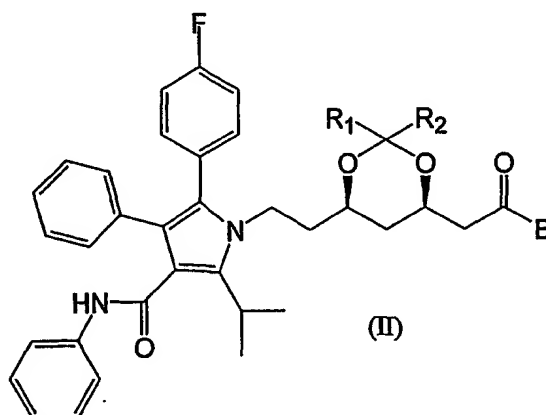


- wherein A denotes a common protection group or separate protection groups for the dihydroxy group and B denotes a
- 10 carboxylic acid protection group;
- b) carrying out deprotection of the dihydroxy group;
- c) carrying out deprotection of the carboxylic acid protection group;
- wherein the order of steps b) and c) may be reversed;
- 15 d) concentrating the solution to about half of the initial volume or lower;
- e) adding water in excess of the volume of the concentrated solution;
- f) adding, using about the same or a higher volume than the
- 20 water volume added in step e), a solvent which is slightly miscible or immiscible with water and in which atorvastatin calcium is insoluble or practically insoluble;
- g) optionally performing a mixing operation, and separating the two phases;
- 25 h) neutralizing the aqueous phase;

- i) converting atorvastatin calcium to a pharmaceutically acceptable salt form; and
- j) forming a precipitate of the atorvastatin calcium being converted in said pharmaceutically acceptable salt form.

5

2. The process according to claim 1, wherein a solution containing the intermediate compound having the following formula (II) is provided in step a):

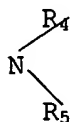


- 10 wherein R<sub>1</sub> and R<sub>2</sub> are independently hydrogen, alkyl of from one to three carbon atoms, or phenyl, or R<sub>1</sub> and R<sub>2</sub> are taken together as (-CH<sub>2</sub>)<sub>n</sub>- wherein n is 4 or 5,

B is

- a) O-R<sub>3</sub> wherein R<sub>3</sub> is
- 15        - straight chain or branched chain alkyl of from one to eight carbon atoms (R<sub>3</sub> is tert-butyl, tert-amyl or α,α-dimethylbenzyl), or
- a three- to six-membered cycloalkyl group,
- b) a group of the formula:

20

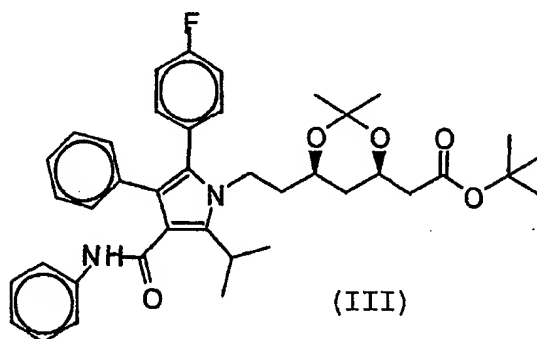


- 25** wherein R<sub>4</sub> and R<sub>5</sub> are independently alkyl of from one to ten carbon atoms, cycloalkyl of from three to seven carbon atoms, aryl or aralkyl, or R<sub>4</sub> and R<sub>5</sub> together form a four to six



member hydrocarbon linkage group optionally containing one or more hetero atoms and optionally being substituted by an alkyl of from one to four carbon atoms.

- 5 3. The process according to claim 1, wherein a solution containing the intermediate compound having the following formula (III) is provided in step a):



10

4. The process according to any one of claims 1 to 3, wherein the deprotection of the dihydroxy group in step b) is carried out by adding an acid and keeping or mixing the solution at a temperature of from 5 to 40°C.

15

5. The process according to any one of claims 1 to 3, wherein the deprotection of the carboxylic acid protection group in step c) is carried out by adding a base to adjust the pH of the solution to a range of from 8 to 13 and keeping or mixing the

20

solution at a temperature of from 5 to 40°C.

6. The process according to any one of claims 1 to 3, wherein in step d) the solution is concentrated to 15 to 50% of the initial volume.

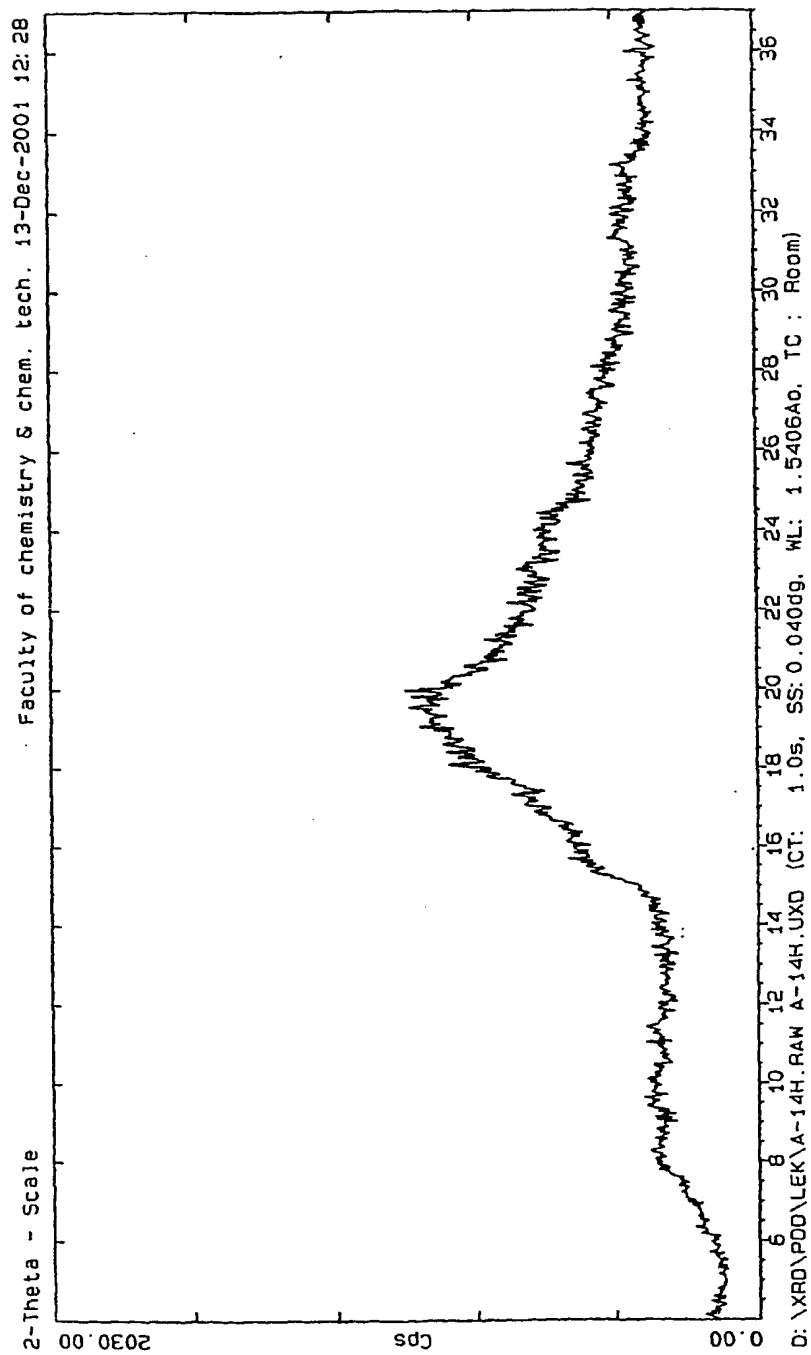
25

7. The process according to any one of claims 1 to 3, wherein water is added in step e) in 0.6 to 3-fold relative to the volume of the concentrated solution.

8. The process according to any one of claims 1 to 3, wherein water is added in step e) in 0.6 to 1.5-fold relative to the volume of the concentrated solution.
- 5 9. The process according to any one of claims 1 to 3, wherein said solvent is added in step f) at an amount of 1 to 5-fold of the water volume previously added in step e).
- 10 10. The process according to any one of claims 1 to 3, wherein neutralizing the aqueous phase in step h) is carried out by adding an acid to the aqueous phase to adjust its pH to a range of from 6.5 to 8.
- 15 11. The process according to any one of claims 1 to 3, wherein the conversion in step i) is carried out by heating the neutralized aqueous solution to a temperature of from 30 to 40°C, and then adding an aqueous solution of the corresponding salt being preheated to 30 to 40°C.
- 20 12. The process according to any one of claims 1 to 3 or 11, wherein, after the addition of a corresponding salt, keeping the solution under a mixing operation at a temperature in the range of from 10 to 30°C.
- 25 13. The process according to any one of claims 1 to 3, 11 or 12, wherein the salt is a calcium salt.
- 30 14. The process according to any one of claims 1 to 3, wherein the precipitation step j) comprises adjusting the temperature of the solution to a range of from 2 to 15°C to afford a precipitate of non-crystalline atorvastatin calcium in the pharmaceutically acceptable salt form.
- 35 15. The process according to any one of claims 1 to 3, wherein the precipitation step j) comprises adding an organic solvent which is miscible with water and in which atorvastatin calcium is practically insoluble or insoluble.

16. The process according to any one of claims 1 to 3, wherein the precipitation step j) comprises concentrating the solution.
- 5 17. The process according to any one of claims 1 to 3, 14 to 16, which comprises a further step k) by filtering off the formed precipitate, rinsing the precipitate with water, and drying the precipitate to give the non-crystalline atorvastatin calcium.
- 10 18. A process for the preparation of atorvastatin calcium in a non-crystalline form, which comprises:
- providing atorvastatin in the form of lactone in a non-hydroxylic solvent;
  - 15 - performing a reaction for opening the lactone ring; and then
  - carrying out the steps as defined by steps d) to j) set forth in claim 1.
19. The process according to claim 18, wherein the lactone
- 20 ring is opened by adding a base and heating the solution to a temperature of from 40 to 60°C.
20. The process according to claim 18, wherein any one of the process steps as defined in claims 6 to 17 in connection with
- 25 steps d)-f) and h)-k) are carried out.
21. A process for the preparation of a pharmaceutical formulation containing atorvastatin calcium in a non-crystalline form, comprising preparing atorvastatin calcium in a non-
- 30 crystalline form in accordance with claim 1 or claim 18 and mixing it with a pharmaceutically acceptable carrier.
22. The process according to claim 21, wherein non-crystalline atorvastatin in the calcium salt form is prepared.

Figure



## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/IB 02/00161

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C07D207/34 C07D405/06

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C07D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, CHEM ABS Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 00 71116 A (THAPER RAJESH KUMAR ;KUMAR YATENDRA (IN); RANBAXY LAB LTD (IN); KU) 30 November 2000 (2000-11-30) cited in the application the whole document	1-22
Y	BAUMANN K L ET AL: "THE CONVERGENT SYNTHESIS OF CI-981, AN OPTICALLY ACTIVE, HIGHLY POTENT, TISSUE SELECTIVE INHIBITOR OF HMG-COA REDUCTASE" TETRAHEDRON LETTERS, ELSEVIER SCIENCE PUBLISHERS, AMSTERDAM, NL, vol. 33, no. 17, 21 April 1992 (1992-04-21), pages 2283-2284, XP000608147 ISSN: 0040-4039 page 2284, line 6-9	1-22



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents:

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

\*G\* document member of the same patent family

Date of the actual completion of the international search

8 April 2002

Date of mailing of the international search report

15/04/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Lauro, P

## INTERNATIONAL SEARCH REPORT

1 International Application No  
PCT/IB 02/00161

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 97 03960 A (WARNER LAMBERT CO ;LIN MIN (US); SCHWEISS DIETER (US)) 6 February 1997 (1997-02-06) cited in the application the whole document	1-22

## INTERNATIONAL SEARCH REPORT

Information on patent family members

In International Application No.

PCT/IB 02/00161

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 0071116	A	30-11-2000	
		AU 1996700 A	12-12-2000
		EP 1185264 A1	13-03-2002
		WO 0071116 A1	30-11-2000
WO 9703960	A	06-02-1997	
		AT 199542 T	15-03-2001
		AU 700794 B2	14-01-1999
		AU 6497896 A	18-02-1997
		BG 102188 A	31-08-1998
		BR 9609714 A	23-02-1999
		CA 2220455 A1	06-02-1997
		CN 1190956 A	19-08-1998
		CZ 9800122 A3	16-12-1998
		DE 69611999 D1	12-04-2001
		DE 69611999 T2	26-07-2001
		DK 839132 T3	09-04-2001
		EE 9700369 A	15-06-1998
		EP 0839132 A1	06-05-1998
		ES 2156997 T3	01-08-2001
		HR 960312 A1	28-02-1998
		HU 220343 B	28-12-2001
		IL 122161 A	14-07-1999
		JP 11510486 T	14-09-1999
		NO 980209 A	16-01-1998
		PL 324463 A1	25-05-1998
		PT 839132 T	29-06-2001
		SI 839132 T1	30-06-2001
		SK 5898 A3	05-08-1998
		WO 9703960 A1	06-02-1997
		US 6274740 B1	14-08-2001